

**Applicants:** Konopitzky *et al.*

**Application No.:** 09/631,863

**Filed:** August 3, 2000

**For:** Tumor-Associated Antigen (R11)

**Due Date:** January 30, 2004

**Art Unit:** 1642

**Examiner:** Davis, Minh Tam B.

**Docket:** 0652.2480001

**Atty:** EKS/JLP

When receipt stamp is placed hereon, the USPTO acknowledges receipt of the following documents:

1. Fee Transmittal (Form PTO/SB/17)
2. Petition for Extension of Time Under 37 CFR § 1.136(a)(1)
3. Amendment and Reply Under 37 CFR § 1.111;
4. One (1) Return Postcard; and
5. Form PTO-2038 Credit Card Payment Form in the amount of \$950.00 to cover: Petition for Extension of Time



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224623v1



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\*Admitted only in Maryland  
\*Admitted only in Virginia  
\*Practice limited to Federal Agencies

January 30, 2004

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Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Art Unit 1642

Re: U.S. Utility Patent Application  
Application No. 09/631,863; Filed: August 3, 2000  
For: Tumor-Associated Antigen (R11)  
Inventors: Konopitzky *et al.*  
Our Ref: 0652.2480001/EKS/JLP

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Fee Transmittal (Form PTO/SB/17);
2. Petition for Extension of Time Under 37 C.F.R. § 1.136(a)(1);
3. Amendment and Reply Under 37 C.F.R. § 1.111;
4. One (1) return postcard; and
5. Form PTO-2038 Credit Card Payment Form in the amount of \$950.00 to cover: Petition for a Three Month Extension of Time.

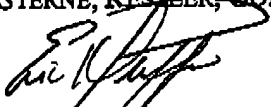
It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Commissioner for Patents  
January 30, 2004  
Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency,  
or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Eric K. Steffe  
Attorney for Applicants  
Registration No. 36,688

EKS/JLP/tc  
Enclosures

224611v1

PTO/SB/17 (10-03)

Approved for use through 07/31/2008. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 950.00

## Complete If Known

Application Number 09/631,863  
 Filing Date August 3, 2000  
 First Named Inventor Renate Konopitzky  
 Examiner Name Davis, Minh Tam B.  
 Art Unit 1642  
 Attorney Docket No. 0652.2480001/EKS/JLP

## METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit card ☐ Money Order ☒ Other ☐ None

☐ \*Charge any deficiencies or credit any overpayments in Deposit Account: the fees to Deposit Acct. No. 19-0036

Deposit Account Number 19-0036

Deposit Account Name Sterne Kessler, Goldstein &amp; Fox P.L.L.C.

The Director is authorized to: (check all that apply)

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## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1) (\$)			0.00

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent 17 81	**= 0 X	18.00	0.00
Multiple Dependent 2 5	**= 0 X	86.00	0.00

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 280	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65		Surcharge - late filing fee or oath	
1052 50	2052 25		Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130		Non-English specification	
1812 2,520	1812 2,620		For filing a request for ex parte reexamination	
1804 920*	1804 920*		Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*		Requesting publication of SIR after Examiner action	
1251 110	2251 65		Extension for reply within first month	
1252 420	2252 210		Extension for reply within second month	
1253 950	2253 475		Extension for reply within third month	
1254 1,480	2254 740		Extension for reply within fourth month	
1255 2,010	2255 1,005		Extension for reply within fifth month	
1401 330	2401 165		Notice of Appeal	
1402 330	2402 165		Filing a brief in support of an appeal	
1403 290	2403 145		Request for oral hearing	
1451 1,510	1451 1,510		Petition to institute a public use proceeding	
1452 110	2452 55		Petition to revive - unavoidable	
1453 1,330	2453 665		Petition to revive - unintentional	
1501 1,330	2501 665		Utility issue fee (or reissue)	
1502 480	2502 240		Design issue fee	
1503 840	2503 320		Plant issue fee	
1460 130	1460 130		Petitions to the Commissioner	
1807 50	1807 50		Processing fee under 37 CFR 1.17(q)	
1808 180	1808 180		Submission of Information Disclosure Stmt	
8021 40	8021 40		Recording each patent assignment per property (times number of properties)	
1809 770	2809 385		Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385		For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385		Request for Continued Examination (RCE)	
1802 900	1802 900		Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 950.00

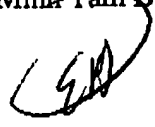
## SUBMITTED BY

Name (Print/Type) Eric K. Steffe Registration No. 36,688 Telephone (202) 371-2600  
 Signature [Signature] Date 11/30/04

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**Fax**☒ Urgent☐ Return reply requested☐ Original will be sent as confirmation**To: United States Patent and  
Trademark Office****Date: February 26, 2004****Attention: Examiner Minh Tam B. Davis****Re: Appl. No. 09/631,863; Filed August 3, 2000  
For: Tumor-Associated Antigen (R11)  
Inventors: Konopitzky *et al.*****From: Eric K. Steffe** **Pages (including cover sheet): 22****Fax No: (571) 273-0830****Our Reference: 0652.2480001/EKS/JLP**

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## Message

Further to our telephone conversation today, please find enclosed the Amendment and Reply Under 37 C.F.R. § 1.111 that we filed with the U.S. Patent and Trademark Office for U.S. Appl. No. 09/631,863 on January 30, 2004. We have also enclosed the filing postcard, which is date-stamped January 30, 2004.

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please sign and return this page as acknowledgment of receipt

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If any portion of this transmission is not received clearly or in full,  
contact us at 202.371.2600 or f 202.371.2540

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